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Electronic Patent Application Submission  
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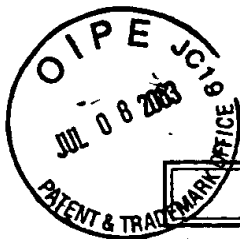
EFS ID: 43054  
Application ID: 09885817  
Title of Invention: METHODS AND SYSTEMS FOR  
IMPLEMENTING A FORWARD  
CONVERSION SECURITIES  
STRATEGY  
First Named Inventor: Shayne Young  
Domestic/Foreign Application: Domestic Application  
Filing Date: 2001-06-19  
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Submission Type: Information Disclosure  
Statement  
Filing Type:  
Confirmation number: 4380  
Attorney Docket Number: 5226-01600



Total Fees Authorized:

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**TRANSMITTAL**

Electronic Version v1.1

Stylesheet Version v1.1.0

<b>Title of Invention</b>	<b>METHODS AND SYSTEMS FOR IMPLEMENTING A FORWARD CONVERSION SECURITIES STRATEGY</b>	
Application Number: 09/885817 Date: 2001-06-19 First Named Applicant: Shayne  Confirmation Number: 4380 Attorney Docket Number: 5226-01600		
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<b>Submitted by:</b>	<b>Elec. Sign.</b>	<b>Sign. Capacity</b>
Eric B. Meyertons Registered Number: 34876	Eric B. Meyertons	Attorney
<b>Documents being submitted</b> us-ids	<b>Files</b> 5226-01600-7-03-usidst.xml us-ids.dtd us-ids.xsl	
<b>Comments</b>		

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## ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0

<b>Title of Invention</b>	<b>METHODS AND SYSTEMS FOR IMPLEMENTING A FORWARD CONVERSION SECURITIES STRATEGY</b>																																																												
<p>Application Number: 09/885817 Confirmation Number: 4380 First Named Applicant: Shayne Young Attorney Docket Number: 5226-01600 Art Unit: 2182 Search string: ( 5193056 or 4752877 or 6415267 or 6029146 or 5297031 or 6035287 ).pn.</p> <p><b>US Patent Documents</b></p> <p>Note: Applicant is not required to submit a paper copy of cited US Patent Documents</p> <table border="1"><thead><tr><th>init</th><th>Cite.No.</th><th>Patent No.</th><th>Date</th><th>Patentee</th><th>Kind</th><th>Class</th><th>Subclass</th></tr></thead><tbody><tr><td></td><td>1</td><td>5193056</td><td>1993-03-09</td><td>Boes</td><td></td><td></td><td></td></tr><tr><td></td><td>2</td><td>4752877</td><td>1988-06-21</td><td>Roberts et al.</td><td></td><td></td><td></td></tr><tr><td></td><td>3</td><td>6415267</td><td>2002-07-02</td><td>Hagan</td><td></td><td></td><td></td></tr><tr><td></td><td>4</td><td>6029146</td><td>2000-02-22</td><td>Hawkins et al.</td><td></td><td></td><td></td></tr><tr><td></td><td>5</td><td>5297031</td><td>1994-03-22</td><td>Guterman et al.</td><td></td><td></td><td></td></tr><tr><td></td><td>6</td><td>6035287</td><td>2000-03-07</td><td>Stallaert et al.</td><td></td><td></td><td></td></tr></tbody></table> <p><b>Signature</b></p> <table border="1"><tr><td><b>Examiner Name</b></td><td><b>Date</b></td></tr><tr><td></td><td></td></tr></table>		init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass		1	5193056	1993-03-09	Boes					2	4752877	1988-06-21	Roberts et al.					3	6415267	2002-07-02	Hagan					4	6029146	2000-02-22	Hawkins et al.					5	5297031	1994-03-22	Guterman et al.					6	6035287	2000-03-07	Stallaert et al.				<b>Examiner Name</b>	<b>Date</b>		
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